SEC Form 4

(City)

(State)

(Zip)

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

1. Name and Address of Reporting Person <sup>*</sup> FROST PHILLIP MD ET AL					2. Issuer Name and Ticker or Trading Symbol OPKO HEALTH, INC. [OPK]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last)						3. Date of Earliest Transaction (Month/Day/Year) 09/22/2022									X Director X 10% Owner X Officer (give title Other (specify below) below)						
OPKO HEAL	ΓH, INC.															CEO &	Chair	man			
4400 BISCAY	NE BLVD.				4. lf /	Ame	endment, D	Date of Or	riginal File	ed (M	onth/Day/Ye	ear)		6. In	dividual or Joi				able Line)		
(Street)																Form filed by One Reporting Person X Form filed by More than One Reporting Person					
MIAMI 	MI FL 33137																				
(City)	(State)	(Z	ip)																		
		Та	able I - No					•		Disp	1				_						
1. Title of Securit	······································			Date	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount		(A) or (D)	Price		(Instr. 3 and 4)			(1130.4)		
Common Stock	c .														3,068,951		D				
Common Stock	Common Stock					09/22/2022			Р		9,641		Α	\$1.89	197,616,335			Ι	See Footnote <sup>(1)</sup>		
Common Stock	Common Stock					09/22/2022			Р	? 1		8	Α	\$1.895	5 197,62	197,629,713		Ι	See Footnote <sup>(1)</sup>		
Common Stock 09/2					22/2022				Р		26,98	1	A	\$1.9	197,65	197,656,694		Ι	See Footnote <sup>(1)</sup>		
Common Stock														30,127,177		Ι		See Footnote <sup>(2)</sup>			
			Table II -								sed of, o onvertible				ned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution E if any (Month/Day	Date, Transacti Code (Ins		tion	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expirati (Month/	Exerc ion Da	isable and ate	7. Title and Amou Securities Underl Derivative Securi (Instr. 3 and 4)		Amount of nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported	re es ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				ŀ	Code V		(A)	(D)	Date Exercis	able	Expiration Date	Title	9	Amount or Number of Shares	-	Transact (Instr. 4)	tion(s)	n(s)			
1. Name and Add FROST PH	•	0																			
(Last) OPKO HEALT 4400 BISCAY	1 A.		(Middle)																		
(Street) MIAMI FL 33137																					
(City)	(Sta	ate)	(Zip)																		
1. Name and Add	ress of Repor	ting Person *					1														
Frost Gamm	na Investr	ments Trust																			
(Last) 4400 BISCAY	(Last) (First) (Middle) 4400 BISCAYNE BLVD.																				
(Street) MIAMI	FL		33137			_															

## Explanation of Responses:

1. The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost Gamma Investments frust. Dr. Frost is also the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost Gamma interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

2. These securities are held by Frost Nevada Investments Trust, of which the Reporting Person is the trustee and Frost-Nevada, L.P. is the sole and exclusive beneficiary. The Reporting Person is one of seven limited partners of Frost-Nevada, L.P. and the sole shareholder of Frost-Nevada Corporation, the sole general partner of Frost-Nevada, L.P. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

Phillip Frost, M.D., Individually 09/23/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## JOINT FILER INFORMATION

Name: Frost Gamma Investments Trust

Address: 4400 Biscayne Blvd. Miami, FL 33137

Designated Filer: Phillip Frost, M.D.

Issuer Name and Ticker Symbol: OPKO Health, Inc. (OPK)

Date of Earliest Transaction: September 22, 2022

Relationship to Issuer: 10% Owner

FROST GAMMA INVESTMENTS TRUST

By: /s/ Phillip Frost, M.D., as Trustee Phillip Frost, M.D., Trustee