SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL								
OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

1. Name and Address of Reporting Person [*] FROST PHILLIP MD ET AL					OP	2. Issuer Name and Ticker or Trading Symbol OPKO HEALTH, INC. [OPK]							(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner						
(Last) OPKO HEALT	(First) TH, INC.	A)					Date of Earliest Transaction (Month/Day/Year) 9/23/2022							X Officer (give title Other (special below) below) CEO & Chairman				specify		
4400 BISCAYNE BLVD. 4					4. lf A	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. In	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) MIAMI	FL	3.	3137	37											Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City)	(State)	(Z	ľip)																	
		Т	able I - No	n-De	rivativ	e S	Securi	ties Acq	uired,	Disp	osed of	, or	Benefi	cially C	wned					
Date				nsaction th/Day/Ye	h/Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Year)		tion nstr.	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				5. Amount Securities Beneficiall Following Transactio	y Owned Reported n(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	v	Amount (A) or (D) Price		Price	(Instr. 3 and 4)							
Common Stock	:			<u> </u>			<u> </u>			<u> </u>					3,068	,951		D	-	
Common Stock	:			09/	23/2022				Р		31,984		Α	\$1.84	197,68	197,688,678		I	See Footnote ⁽¹⁾	
Common Stock	1			09/	/23/202	23/2022			Р		835		Α	\$1.84	5 197,68	197,689,513		I	See Footnote ⁽¹⁾	
Common Stock 09/23					/23/202	23/2022			Р		1,300		Α	\$1.85	197,69	197,690,813		I	See Footnote ⁽¹⁾	
Common Stock	:			09/	/23/202	23/2022			Р	Р 4,337		7	Α	\$1.85	5 197,69	95,150	I		See Footnote ⁽¹⁾	
Common Stock 09/23					/23/202	3/2022		Р		11,544		А	\$1.86	197,70	197,706,694		I	See Footnote ⁽¹⁾		
Common Stock															30,12	7,177		Ι	See Footnote ⁽²⁾	
			Table II -					es Acqui arrants, o							ned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day	ate, Transad Code (In					6. Date Exerc Expiration Da (Month/Day/Y		ate Securities Under		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number derivativ Securitie Beneficia Owned Followin Reported	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	Code V		(D)	Date Exercis	able	Expiration Date	Title		Amount or Number of Shares	5	Transact (Instr. 4)				
1. Name and Address of Reporting Person [*] <u>FROST PHILLIP MD ET AL</u>																				
(Last) (First) (Middle) OPKO HEALTH, INC. 4400 BISCAYNE BLVD.																				
(Street) MIAMI					_															
(City) (State) (Zip)																				

	ess of Reporting Person* aa Investments Tru	<u>ıst</u>				
(Last) (First) (Middle) 4400 BISCAYNE BLVD.						
(Street)						
MIAMI	FL	33137				
(City)	(State)	(Zip)				

Explanation of Responses:

1. The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost Gamma interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

2. These securities are held by Frost Nevada Investments Trust, of which the Reporting Person is the trustee and Frost-Nevada, L.P. is the sole and exclusive beneficiary. The Reporting Person is one of seven limited partners of Frost-Nevada, L.P. and the sole shareholder of Frost-Nevada Corporation, the sole general partner of Frost-Nevada, L.P. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

Phillip Frost M.D., Individu	ally on a classes
and as Trustee	<u>09/26/2022</u>

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

JOINT FILER INFORMATION

Name: Frost Gamma Investments Trust

Address: 4400 Biscayne Blvd. Miami, FL 33137

Designated Filer: Phillip Frost, M.D.

Issuer Name and Ticker Symbol: OPKO Health, Inc. (OPK)

Date of Earliest Transaction: September 23, 2022

Relationship to Issuer: 10% Owner

FROST GAMMA INVESTMENTS TRUST

By: /s/ Phillip Frost, M.D., as Trustee Phillip Frost, M.D., Trustee