SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add		•					Name a								ationship of I k all applicat Director		Person(
(Last) OPKO HEAL	(First) TH, INC.	(M	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 10/19/2022								X Officer (give title Other (specify below) CEO & Chairman					
4400 BISCAN	NE BLVD				4. If A	me	endment,	Date of (Driginal F	iled (N	/lonth/Day/Ye	ear)		6. Ind	ividual or Joir Form file			heck Applic	able Line)
(Street) MIAMI	FL	3:	3137											Х				ne Reportir	ng Person
(City)	(State)	(Z	ip)																
		Ta	able I - N	on-Der	ivativ	e S	Securit	ies Ac	quired	, Dis	posed of,	, or Benef	ficiall	y O۱	wned				
1. Title of Securit	ty (Instr. 3)			2. Trans Date (Month/	saction Day/Yea	r)	2A. Deen Execution if any (Month/D	n Date,	3. Transac Code (II 8)		4. Securitie Disposed O	s Acquired (A of (D) (Instr. 3,) or 4 and	5)	5. Amount of Securities Beneficially Following R Transaction	Owned eported	6. Own Form: I or Indir (Instr. 4	Direct (D) ect (I)	7. Nature of Indirect Beneficial Ownership
									Code	v	Amount	(A) or (D)	Price		(Instr. 3 and	4)			(Instr. 4)
Common Stor	:k														3,068,	951		D	
Common Stor	k			10/1	9/2022	2			Р		11,188	A	\$1.6	695	198,142	2,882			See Footnote ⁽¹⁾
Common Stor	k			10/1	9/2022	2			Р		30,000	A	\$1	.7	198,17	2,882			See Footnote ⁽¹⁾
Common Stor	k			10/19	9/2022	2			Р		480	A	\$1.3	705	198,173	3,362			See Footnote ⁽¹⁾
Common Stor	k			10/1	9/2022	2			Р		6,900	Α	\$1.	.71	198,180	0,262		See Footnote ⁽¹⁾	
Common Stor	:k			10/1	9/2022	2			Р		700	A	\$1.3	735	198,180	0,962			See Footnote ⁽¹⁾
Common Stor	k			10/1	9/2022	2			Р		50,732	2 A	\$1.	.74	198,23	1,694		See Footnote ⁽¹⁾	
Common Stor	ck														30,127	,177			See Footnote ⁽²⁾
			Table II ·									or Benefic e securitio		Own	ned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deeme Execution if any (Month/Da	Date,	ate, Transactio Code (Inst				6. Date Exer Expiration D (Month/Day/ f		Date	Securities U Derivative S	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securiti Benefic Owned Followin Reporte Transac	ve es ially ng ed	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exerci	isable	Expiration Date	ation Title Amount or Number of Shares		ber		(Instr. 4))		
1. Name and Add FROST PH	•	0									-								
(Last) OPKO HEAL 4400 BISCAN			(Middle)		_													
(Street) MIAMI	FL 33137		7		_														
(City)	(Sta	ite)	(Zip)			_													

1. Name and Address of Reporting Person [®] Frost Gamma Investments Trust										
(Last) 4400 BISCAY	(First) YNE BLVD.	(Middle)								
(Street) MIAMI	FL	33137								
(City)	(State)	(Zip)								

Explanation of Responses:

1. The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

2. These securities are held by Frost Nevada Investments Trust, of which the Reporting Person is the trustee and Frost-Nevada, L.P. is the sole and exclusive beneficiary. The Reporting Person is one of seven limited partners of Frost-Nevada, L.P. and the sole shareholder of Frost-Nevada Corporation, the sole general partner of Frost-Nevada, L.P. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

 Phillip Frost, M.D., Individually and as Trustee
 10/20/2022

 ** Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

JOINT FILER INFORMATION

Name: Frost Gamma Investments Trust

Address: 4400 Biscayne Blvd. Miami, FL 33137

Designated Filer: Phillip Frost, M.D.

Issuer Name and Ticker Symbol: OPKO Health, Inc. (OPK)

Date of Earliest Transaction: October 19, 2022

Relationship to Issuer: 10% Owner

FROST GAMMA INVESTMENTS TRUST

By: /s/ Phillip Frost, M.D., as Trustee Phillip Frost, M.D., Trustee