FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

|        | <br> |   |    |       |
|--------|------|---|----|-------|
| $\sim$ | AP   | ᇚ | A١ | / N I |
|        |      |   |    |       |

| OMB Number:             | 3235-0287 |
|-------------------------|-----------|
| Estimated average burde | n         |
| hours per response:     | 0.5       |

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * Rubin Steven D |  |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol OPKO HEALTH, INC. [ OPK ]   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |   |  |  |  |  |  |
|--|--|----------|--|---|---|--|--|--|--|--|
|  |  | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 05/18/2023  | X<br>X  | Director Officer (give title below) Executive VP-Admini | 10% Owner Other (specify below) stration |  |  |  |  |
| 4400 BISCAYNE BLVD.  (Street)                            |  |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Ay X Form filed by One Reporting Pers Form filed by More than One Rep                                       |   |   |  |  |  |  |  |
| MIAMI FL 33137   |  |          | Rule 10b5-1(c) Transaction Indication  |   |   |  |  |  |  |  |
| (City) (State) (Zip)                                     |  | (Zip)    | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |   |   |  |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. | Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transac<br>Code (Ir<br>8) |  | 4. Securities Ad<br>Disposed Of (D |               |       | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|----|------------------------------|--|---|---------------------------------|--|------------------------------------|---------------|-------|--|---|-------------------------|
|    |                              |  |   | Code V                          |  | Amount                             | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)                                     |   | (Instr. 4)              |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (In<br>8) |   | Derivative |     |                     |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                  | g Derivative | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported |   | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|---------------------------------|---|------------|-----|---------------------|--------------------|--|----------------------------------|--------------|--|---|--|
|   |   |  |   | Code                            | v | (A)        | (D) | Date<br>Exercisable | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares |              | Transaction(s)<br>(Instr. 4)   |   |  |
| Stock Option<br>(Right to Buy)                      | \$1.58  | 05/18/2023                                 |   | A                               |   | 700,000    |     | (1)                 | 05/17/2033         | Common<br>Stock  | 700,000                          | \$0.00       | 700,000  | D |  |

## Explanation of Responses:

1. This option will vest in four equal annual installments beginning May 18, 2024.

### Remarks:

<u>Steven D. Rubin</u> <u>05/19/2023</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).